

121203

P24684.P01

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

P24684

Total Pages

Inventor(s) or Application Identifier:  
Makoto KAIBARA, Hiroki IWATA, Koji TAKIO, and Naoshi DOHMAETitle: BLOOD COAGULATION FACTOR-ACTIVATING PROTEIN  
AND ANTIBODY THERETO

ADDRESS TO:

Commissioner for Patents  
Mail Stop Patent Application  
PO Box 1450  
Alexandria, VA 22313-1450

## APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
- a. ☐ Small Entity  
Statement(s)
3. ☒ Specification [Total Pages 48 ]  
(preferred arrangement set forth below)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets 16 ]
5. ☒ Oath or Declaration [Total Pages 4 ]
- a. ☐ Newly executed (original or copy) ☒ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 20 completed)  
[Note Box 6 below]
- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Incorporation By Reference (useable if Box 5b is checked)  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 5b, is  
considered as being part of the disclosure of the accompanying  
application and is hereby incorporated by reference therein.
7. ☐ Application Data Sheet. See 37 CFR 1.76
8. ☐ CD-Rom or CD-R in duplicate, large table or Computer  
Program (Appendix)

## ACCOMPANYING APPLICATION PARTS

9. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☒ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☒ paper
- c. ☐ Statements verifying identity of above copies
10. ☐ Assignment Papers (cover sheet & document(s))
11. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
12. ☐ English Translation Document (if applicable)
13. ☐ Information Disclosure ☐ Copies of IDS Citations  
Statement (IDS)/PTO-1449
14. ☒ Preliminary Amendment
15. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
16. ☒ Figure of Drawing to be published: 1
17. ☒ Foreign priority claimed
- a. ☒ Claim of Priority
- b. ☐ Certified Copy of Priority Document(s)
18. ☐ Nonpublication Request under 35 U.S.C. 122 (b) (2) (B) (i).  
Applicant must attach form PTO/SB/35 or its equivalent.
19. ☒ Assignee: RIKEN, of Saitama, Japan
20. ☒ Other: Cover Letter Under 37 C.F.R. 1.53(f) and 1.53(f);  
and a copy of Request for Extension of Time (from parent)

21. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 C.F.R. 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior Application No. 09/861,708, filed May 22, 2001.  
Prior application information: Examiner: \_\_\_\_\_ Group Art Unit: \_\_\_\_\_Address all future correspondence to **Customer No. 7055** at the present address of:GREENBLUM & BERNSTEIN, P.L.C.  
1950 Roland Clarke Place  
Reston, VA 20191  
(703) 716-1191

Date

Signature

Bruce H. Bernstein, Reg. No. 29,027  
Typed or Printed Name

P24684.P02  
121203  
3281 U.S. PTO

# FEE TRANSMITTAL

## Complete if Known

|                      |                       |
|----------------------|-----------------------|
| Application Number   | Not Yet Assigned      |
| Filing Date          | Concurrently Herewith |
| First Named Inventor | Makoto KAIBARA et al. |
| Group Art Unit       | Unassigned            |
| Examiner Name        | Unassigned            |

TOTAL AMOUNT OF PAYMENT (\$)842.00

Attorney Docket Number P24684

## METHOD OF PAYMENT (check one)

1. ☒ The U.S Patent and Trademark Office is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 19-0089

Deposit Account Name GREENBLUM & BERNSTEIN, P.L.C.

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3))
- ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)
- ☐ Applicant Claims Small Entity Status See 37 CFR 1.27.

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION (fees effective 10/01/03)

### 1. FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid      |
|----------------|-----------------|----------------|-----------------|------------------------|---------------|
| 1001           | 770             | 2001           | 385             | Utility filing fee     | <u>770.00</u> |
| 1002           | 340             | 2002           | 170             | Design filing fee      |               |
| 1003           | 530             | 2003           | 265             | Plant filing fee       |               |
| 1004           | 770             | 2004           | 385             | Reissue filing fee     |               |
| 1005           | 160             | 2005           | 80              | Provisional filing fee |               |

SUBTOTAL (1) (\$) 770.00

### 2. CLAIMS

| Total Claims                         | Extra        | Fee from below | Fee Paid     |
|--------------------------------------|--------------|----------------|--------------|
| <u>24</u> -20=                       | <u>4</u> x   | <u>18</u> =    | <u>72.00</u> |
| Independent <u>2</u> -3=             | <u>0</u> x   | <u>86</u> =    | <u>0.00</u>  |
| Claims                               |              |                |              |
| Multiple Dependent Claims <u>0</u> x | <u>290</u> = |                | <u>0.00</u>  |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description   |
|----------------|-----------------|----------------|-----------------|---|
| 1202           | 18              | 2202           | 9               | Claims in excess of 20                                  |
| 1201           | 86              | 2201           | 43              | Independent claims in excess of 3                       |
| 1203           | 290             | 2203           | 145             | Multiple dependent claim                                |
| 1204           | 86              | 2204           | 43              | Reissue independent claims over original patent         |
| 1205           | 18              | 2205           | 9               | Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) 72.00

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Fee Code       | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  | Fee Paid |
|----------------------|-----------------|----------------|-----------------|--|----------|
| 1051                 | 130             | 2051           | 65              | Surcharge - late filing fee or oath  |          |
| 1052                 | 50              | 2052           | 25              | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 1053                 | 130             | 1053           | 130             | Non-English specification  |          |
| 1812                 | 2,520           | 1812           | 2,520           | For filing a request for reexamination                                     |          |
| 1804                 | 920*            | 1804           | 920*            | Requesting publication of SIR  |          |
|                      |                 |                |                 | Prior to Examiner action   |          |
| 1805                 | 1,840*          | 1805           | 1,840*          | Requesting publication of SIR after Examiner action                        |          |
| 1251                 | 110             | 2251           | 55              | Extension for response within 1st month                                    |          |
| 1252                 | 420             | 2252           | 210             | Extension for response within 2nd month                                    |          |
| 1253                 | 950             | 2253           | 475             | Extension for response within 3rd month                                    |          |
| 1254                 | 1,480           | 2254           | 740             | Extension for response within 4th month                                    |          |
| 1255                 | 2,010           | 2255           | 1,005           | Extension for response within 5th month                                    |          |
| 1401                 | 330             | 2401           | 165             | Notice of Appeal   |          |
| 1402                 | 330             | 2402           | 165             | Filing a brief in support of an appeal                                     |          |
| 1403                 | 290             | 2403           | 145             | Request for oral hearing   |          |
| 1451                 | 1,510           | 1451           | 1,510           | Petition to institute a public use proceeding                              |          |
| 1452                 | 110             | 2452           | 55              | Petition to revive unavoidably abandoned application                       |          |
| 1453                 | 1,330           | 2453           | 665             | Petition to revive unintentionally abandoned application                   |          |
| 1501                 | 1,330           | 2501           | 665             | Utility issue fee (or reissue)   |          |
| 1502                 | 480             | 2502           | 240             | Design issue fee   |          |
| 1503                 | 640             | 2503           | 320             | Plant issue fee  |          |
| 1460                 | 130             | 1460           | 130             | Petitions to the Commissioner  |          |
| 1807                 | 50              | 1807           | 50              | Petitions related to provisional applications                              |          |
| 1806                 | 180             | 1806           | 180             | Submission of IDS  |          |
| 8021                 | 40              | 8021           | 40              | Recording each patent assignment per property (times number of properties) |          |
| 1809                 | 770             | 2809           | 385             | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810                 | 770             | 2810           | 385             | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801                 | 770             | 2801           | 385             | Request for Continued Examination (RCE)                                    |          |
| 1802                 | 900             | 1802           | 900             | Request for expedited examination of a design application                  |          |
| Other fee (specify): |                 |                |                 |  |          |
| Other fee (specify): |                 |                |                 |  |          |

SUBTOTAL (3) (\$) 0.00

\*Reduced by Basic Filing Fee paid

## SUBMITTED BY

Typed or Printed Name Bruce H. Bernstein

Signature

## Complete (if applicable)

Reg. Number

29,027

Date

12/12/03

Deposit Account User ID

P21011.A09

Application No. 09/861,708

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Makoto KAIBARA et al.

Group Art Unit: 1644

Appl. No : 09/861,708

Examiner: Decloux

Filed : May 22, 2001

For : BLOOD COAGULATION FACTOR-ACTIVATING PROTEIN AND  
ANTIBODY THERETO

**REQUEST FOR EXTENSION OF TIME**

Commissioner of Patents  
PO Box 1450  
Alexandria, VA 22313-1450

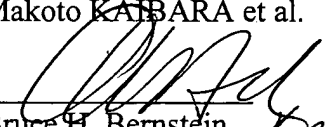
COPY

Sir :

Please extend the time for response to the Official Office Action dated June 18, 2002 by three months, from September 18, 2003 to December 18, 2003. A check including the amount of \$950.00 is enclosed as payment for the fee.

The Commissioner is hereby authorized to credit any overpayment or charge any additional fee to Deposit Account No. 19-0089.

Respectfully submitted,  
Makoto KAIBARA et al.

  
\_\_\_\_\_  
Bruce H. Bernstein  
Reg. No. 29,027

*Handwritten:* 33,894

December 12, 2003  
GREENBLUM & BERNSTEIN, P.L.C.  
1950 Roland Clarke Place  
Reston, VA 20191  
(703) 716-1191

P24684.P05

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Makoto KAIBARA et al.

Serial No. : Not Yet Assigned

Filed : Concurrently Herewith

For : BLOOD COAGULATION FACTOR-ACTIVATING PROTEIN AND  
ANTIBODY THERETO

**COVER LETTER ACCOMPANYING U.S. PATENT APPLICATION  
FILED UNDER 37 C.F.R. 1.53(b) and 1.53(f)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Enclosed is a new patent application for filing in the U.S. Patent and Trademark Office under 37 C.F.R. 1.53(b) and 1.53(f) in which the appropriate filing fee is not included, and the attached Declaration and Power of Attorney are in unexecuted form.

The appropriate filing fee and executed Declaration and Power of Attorney will be filed within the time period set forth in the Notice to File Missing Parts of Application, unless such time period has been extended by the filing of a petition accompanied by the extension fee under the provisions of 37 C.F.R. 1.136(a).

Related to the above, this application should be associated with Customer Number 7055, which includes the present correspondence address as follows:

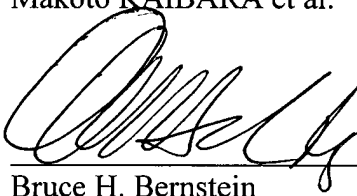
GREENBLUM & BERNSTEIN, P.L.C.  
1950 Roland Clarke Place  
Reston, Va. 20191

The above-identified application includes:

- 48 pages of specification (including 3 pages of sequence listing and 1 page of abstract).
- 16 sheets of drawings with **23** figures.
- an unexecuted Declaration and Power of Attorney.
- 24 total claims with 2 independent.
- a diskette containing sequence listing.

If there are any questions, please contact the undersigned at the below-listed telephone number.

Respectfully submitted,  
Makoto KAIBARA et al.

 33,094  
Bruce H. Bernstein  
Reg. No. 29,027

December 12, 2003  
GREENBLUM & BERNSTEIN, P.L.C.  
1950 Roland Clarke Place  
Reston, Va. 20191  
(703) 716-1191